



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Our Case No. 00-1086-G)

In re A	pplication of:	)
	Letsinger, et al.	)
Serial N	No. 10/633,878	) Examiner: TBA
Filed:	August 4, 2003	) Group Art Unit: 1645
For:	Method of Detection By Enhancement of Silver Staining	) Confirmation No.: 5233

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 2231-1450

Sir:

In regard to the above identified application.

- 1. We are transmitting herewith the attached:
  - a) Sixth Supplemental Information Disclosure Statement
  - b) U.S. PTO 1449 Form with copies of 29 references; and
  - c) Return Postcard.
- 2. With respect to additional fees:

A check in the amount of \$0.00 is attached.

- 3. <u>GENERAL AUTHORIZATION</u>: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this \_\_\_\_\_\_ day of July, 2004.

Date: My9, 2004

Respectfully submitted,

Emily Miao

Registration No. 35,285

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Our Case No. 00-1086-G) Letsinger, et al. Serial No. 10/633,878 Filed: August 4, 2003 For: Method of Detection By Enhancement of Silver Staining IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Our Case No. 00-1086-G) August 4 Application of: Confirmation: 5233

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In order to comply with discretionary regulations 37 CFR §§1.97 and 1.98, attached hereto is Form PTO-1449, copies<sup>1</sup> of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

- 1. Merrill, et al., U.S. Patent No. 5,830,986, issued November 3, 1998.
- 2. Lough, et al., U.S. Patent No. 5,900,481, issued May 4, 1999.

<sup>&</sup>lt;sup>1</sup>To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to undersigned.

- 3. Goldberg, et al., U.S. Patent No. 6,203,989, issued March 20, 2001
- 4. Bawendi, et al., U.S. Patent No. 6,251,303, issued June 26, 2001.
- 5. Abbott, et al., U.S. Patent No. 6,277,489, issued August 21, 2001.
- 6. Bawendi, et al., U.S. Patent No. 6,306,610, issued October 23, 2001
- 7. Mirkin, et al, U.S. Patent No. 6,361,944, issued March 26, 2002.
- 8. Wagner, et al., U.S. Patent No. 6,365,418, issued April 02, 2002
- 9. Mirkin, et al., U.S. Patent No. 6,417,340, issued July 09, 2002
- 10. WO 93/25709 published 23 December 1993.
- 11. WO 98/04740 published 5 January 1998
- 12. WO 98/17317 published 30 April 1998
- 13. WO 99/60169 published 25 November 1999
- 14. WO 00/33079 published 8 June 2000
- 15. WO 01/00876 published 4 January 2001
- 16. WO 01/51665 published 19 July 2001
- 17. WO 01/73123 published 4 October 2001
- 18. WO 01/86301 published 15 November 2001
- 19. WO 02/04681 published 17 January 2002
- 20. WO 02/18643 published 7 March 2002
- 21. WO 02/36169 published 10 May 2002
- 22. WO 02/46483 published 13 June 2002
- 23. WO 02/46472 published 13 June 2002
- 24. Letsinger, R., et al., "Chemistry of Oligonucleotide-Gold Nanoparticle Conjugates," *Phosphorus, Sulfur and Silicon*, Volume 144, p. 359-362 (1999)

- 25. Letsinger, R., et al., "Use of a Steroid Cyclic Disulfide Anchor in Constructing Gold Nanoparticle—Oligonucleotide Conjugates," *Bioconjugate Chem*, p. 289-291 (2000)
- 26. Li Z., et al., "Multiple thiol-anchor capped DNA-gold nanoparticle conjugates," *Nucleic Acids Research*, Volume 30, p. 1558-1562 (2002)
- 27. Nuzzo R., et al., "Spontaneously Organized Molecular Assemblies. 3. Preparation and Properties of Solution Adsorbed Monolayers of Organic Disulfides on Gold Surfaces, " J. Am Chem. Soc., Volume 109, p. 2358-2368 (1987)
- 28. Otsuka, H, et al., "Quantitative and Reversible Lectin-Induced Association of Gold Nonoparticles Modified with α-Lactosyl-ω-mercapto-poly(ethyleneglycol)," J. Am Chem. Soc., Volume 123, p. 8226-8230 (2001).
- 29. Wuelfing, P, et al, "Nanometer Gold Clusters Protected by Surface-Bound Monolaters of Thiolated Poly(ethylene glycol) Polymer Electrolyte," *J. Am. Chem. Soc.*, Volume 120, p. 12696-12697 (1998)

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended

that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Dated:

**Emily Miao** 

Registration No. 35,285

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive

Chicago, Illinois 60606 Telephone: (312) 913-0001 Facsimile: (312) 913-0002

**PATENT** 

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(Our Case No. 00-1086-G)

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	Letsinger, et al.	)
		) Examiner: TBA
Serial N	To. 10/633,878	)
		) Group Art Unit: 1645
Filed:	August 4, 2003	)
		) Confirmation No.: 5233
For:	Method of Detection By Enhancement of	)
	Silver Staining	)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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- 1. International Application No. WO 92/04469, published 19 March 1992
- 2. International Application No. WO 90/02205, published 8 March 1990
- 3. Borman, *Chem. Eng.* News, December 9, 1996, pp. 42-43 (1996)

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4. Tomlinson et al. *Anal Biochem*, Vol. 171, pp. 217-222 (1988)

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Early and favorable consideration is earnestly solicited.

Emily Miao

Respectfully

Registration No. 35,285

submitted

McDonnell Boehnen Hulbert & Berghoff LLP

FORM PTO-1449 (Rev. 2-32)

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## U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No.

Serial No.

10/633,878

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(Use several sheets if necessary)

Applicant:

00-1086-G

Letsinger, et al.

Filing Date:

Group:

August 4, 2003

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### **U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,830,986	11/03/98	Merrill, et al.	528	332	10/28/96
	2.	5,900,481	05/04/99	Lough, et al.	536	55.3	11/06/96
	3.	6,203,989	03/20/01	Goldberg, et al	435	6	03/25/99
	4.	6,251,303	06/26/01	Bawendi, et al.	252	301.4R	09/18/98
	5.	6,277,489	08/21/01	Abbott, et al.	428	403	12/04/98
	6.	6,306,610	10/23/01	Bawendi, et al.	435	7.1	09/17/99
	7.	6,361,944	03/26/02	Mirkin, et al.	435	6	06/25/99
	8.	6,365,418	04/02/02	Wagner, et al.	436	518	05/18/00
	9.	6,417,340	07/09/02	Mirkin, et al.	536	23.1	10/20/00

### **FOREIGN PATENT DOCUMENTS**

	Document Number					Translation	
 		Date	Country	Class	Subclass	Yes	No
10.	WO 93/25709	23 December 1993	PCT			İ	
11.	WO 98/04740	5 February 1998	PCT				
12.	W0 98/17317	30 April 1998	PCT				
13.	WO 99/60169	25 November 1999	PCT				
14.	WO 00/33079	8 June 2000	PCT				
15.	WO 01/00876	4 January 2001	PCT				

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office		Serial No.	
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19.	WO 02/04681	17 January 2002	PCT				
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23.	WO 02/46472	13 June 2002	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

24.	Letsinger, R., et al., "Chemistry of Oligonucleotide-Gold Nanoparticle Conjugates," <i>Phosphorus, Sulfur and Silicon</i> , Volume 144, p. 359-362 (1999)
25.	Letsinger, R., et al., "Use of a Steroid Cyclic Disulfide Anchor in Constructing Gold Nanoparticle—Oligonucleotide Conjugates," Bioconjugate Chem, p. 289-291 (2000)

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	26.	Li Z., et al., "Multiple thiol-anchor capped DNA-gold nanoparticle conjugates," Nucleic Acids Research, Volume 30, p. 1558-1562 (2002)
7	27.	Nuzzo R., et al., "Spontaneously Organized Molecular Assemblies. 3. Preparation and Properties of Solution Adsorbed Monolayers of Organic Disulfides on Gold Surfaces, " J. Am Chem. Soc., Volume 109, p. 2358-2368 (1987)
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McDONNELL BOEHNEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE CHICAGO, ILLINOIS 60608 TELEPHONE (312) 913-0001

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U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. 00-1086-G

Serial No. 10/633,878

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: Letsinger, et al.

Filing Date: 08/04/03

Group: 1645

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### **FOREIGN PATENT DOCUMENTS**

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1.	WO 92/04469	19 March 1992	РСТ			х
·2.	WO 90/02205	8 March 1990	PCT			×

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	3.	Borman, Chem.Eng. News, December 9, 1996, pp.42-43 (1996)
l	4.	Temlinger et al. And Biacher Vol. 474 047 000 (4000)
	4.	Tomlinson et al., Anal. Biochem, Vol. 171, pp. 217-222 (1988)
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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	1.	4,193,983	3/18/80	Ullman et al.			
	2.	4,256,834	3/17/81	Zuk et al.			
	3.	4,261,968	4/14/81	Ullman et al.			
	4.	4,313,734	2/2/82	Leuvering			
	5.	4,318,707	3/9/82	Litman et al.			
	6.	4,650,770	3/17/87	Liu et al.			
	7.	4,713,348	12/15/87	Ullman			
	8.	4,853,335	8/1/89	Olsen et al.			
	9.	4,868,104	9/19/89	Kurn et al.			
	10.	5,225,064	7/6/93	Henkens et al.			
	11.	5,294,369	3/15/94	Shigekawa et al.			
	12.	5,384,073	1/24/95	Shigekawa et al.			
	13.	5,384,265	1/24/95	Kidwell et al.			
	14.	5,460,831	10/24/95	Kossovsky et al.			
	15.	5,472,881	12/5/95	Beebe et al.			
	16.	5,514,602	05/07/96	Brooks, Jr. et al.			
	17.	5,521,289	5/28/96	Hainfeld et al.			
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	19.	5,571,726	11/05/96	Brooks, Jr. et al.			
1	20.	5,665,582	9/9/97	Kausch et al.			
	21.	5,681,943	10/28/97	Letsinger et al.			

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

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		Document Number	Date		Country	Class	Subclass	Transl Yes	ation No
	22.	WO 89/06801	7/27/89	PCT					
	23.	WO 97/40181	10/30/97	PCT	· · · · · · · · · · · · · · · · · · ·				
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_	25.	WO 99/23258	10/30/98	PCT					
	26.	0 630 974 A2	12/28/94	EPO				<del>                                     </del>	
	27.	0 667 398 A2	8/16/95	EPO					

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	OTTER BOODWENTO - including Author, Title, Date, Fertinent Fages, Etc.
28.	Alivisatos et al., "Organization of 'nanocrystal molecules' using DNA," <i>Nature</i> , Vol. 382, pp. 609-611 (1996)
29.	Bain, et al., "Modeling Organic Surfaces with Self-Assembled Monolayers," Angew. Chem. Int. Ed. Engl., Vol. 28, pp. 506-512 (1989)
30.	Bradley, "The Chemistry of Transition Metal Colloids," Clusters and Colloids: From Theory to Applications, G. Schmid, Editor, BCH, Weinheim, New York, pp. 459-542 (1994)
31.	Brust et al., "Novel Gold-Dithiol Nano-Networks with Non-Metallic Electronic Properties," <i>Adv. Mater.</i> , Vol. 7, pp. 795-797 (1995)
32.	Chen et al., "A Specific Quadrilateral Synthesized from DNA Branched Junctions," J. Am. Chem. Soc., Vol. 111, pp. 6402-6407 (1989)
33.	Chen & Seeman, "Synthesis from DNA of a molecule with the connectivity of a cube," <i>Nature</i> , Vol. 350, pp. 631-633 (1991)
34.	Chen et al., "Crystal Structure of a Four-Stranded Intercalated DNA: d(C <sub>4</sub> ) Biochem., Vol. 33, pp. 13540-13546 (1994)
35.	Dagani, "Supramolecular Assemblies DNA to organize gold nanoparticles," Chemical & Engineering News, p. 6-7, August 19, 1996

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36.	Dubois & Nuzzo, "Synthesis, Structure, and Properties of Model Organic Surfaces," Annu. Rev. Phys. Chem., Vol. 43, pp. 437-464 (1992)
37.	Elghanian et al., "Selective Colorimetric Detection of Polynucleotides Based on the Distance-Dependent Optical Properties of Gold Nanoparticles," <i>Science</i> , Vol. 277, pp. 1078-1081 (1997)
38.	Grabar et al., "Preparation and Characterization of Au Colloid Monolayers," <i>Anal. Chem.</i> Vol. 67, pp. 735-743 (1995)
39.	Hacia et al., "Detection of heterozygous mutations in BRCA1 using high density oligonucleotide arrays and two-colour fluorescence analysis," <i>Nature Genet.</i> , Vol. 14, pp. 441-447 (1996)
40.	Jacoby, "Nanoparticles change color on binding to nucleotide target," Chemical & Engineering News, p. 10, August 25, 1997
41.	Letsinger et al., "Use of Hydrophobic Substituents in Controlling Self-Assembly of Oligonucleotides, J. Am. Chem. Soc., Vol. 115, pp. 7535-7536 (1993)
42.	Letsinger et al., "Control of Excimer Emission and Photochemistry of Stilbene Units by Oligonucleotide Hybridization," J. Am. Chem. Soc., Vol. 116, pp. 811-812 (1994)
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45.	Mirkin et al., "A DNA-based method for rationally assembling nanoparticles into macroscopic materials," <i>Nature</i> , Vol. 382, pp. 607-609 (1996)
46.	Mirkin et al., "DNA-Induced Assembly of Gold Nanoparticles: A Method for Rationally Organizing Colloidal Particles into Ordered Macroscopic Materials," <i>Abstract</i> 249, Abstracts of Papers Part 1, 212 ACS National Meeting 0-8412-3402-7, American Chemical Society, Orlando, FL, August 25-29, 1996
47.	Mucic et al., "Synthesis and characterizations of DNA with ferrocenyl groups attached to their 5'-termini: electrochemical characterization of a redox-active nucleotide monolayer," <i>Chem. Commun.</i> , pp. 555-557 (1996)
48.	Mulvaney, "Surface Plasmon Spectroscopy of Nanosized Metal Particles," <i>Langmuir</i> , Vol. 12, pp. 788-800 (1996)
49.	Rabke-Clemmer et al., "Analysis of Functionalized DNA Adsorption on Au(111) Using Electron Spectroscopy," <i>Langmuir</i> , Vol. 10, pp. 1796-1800 (1994)

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Atty. Docket No.  O0-1086-G  Applicant: Letsinger, et al.				
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			FOREIGN PATE	NT DOCUMENTS				
		Document Number	Date	Country	Class	Subclass	Trai Yes	nslation No
				thor, Title, Date, Pertir				
	50.	Roubi, "MOLECULAR MACHINES – Nanodevice with rotating arms assembled from synthetic DNA," Chemical & Engineering News, p. 13, (Jan. 18, 1999)						
	51.	Seeman et al., "Synthe	etic DNA knots and ca	atenanes," New J. Chem.,	Vol. 17, p	op. 739-755 (19	993)	
	52.	Shaw & Wang, "Knotting of a DNA Chain During Ring Closure," Science, Vol. 260, pp. 533-536 (1993)						
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Examiner	Date Considered

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